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Docket No. 3606-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gillin, Korfmann and Raden

Group Art Unit: 2761

Serial No.: 09/188,810

Examiner: Geoffrey Akers

Filed: November 9, 1998

For: TRANSFER INSTRUMENT

AMENDMENT FEE TRANSMITTAL

RECEIVED

SEP 13 2002

GROUP 3600

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is an Amendment for the above-identified application.

☒ No additional fee is required.

☐ The additional fee has been calculated as shown below:

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest No. Covered by Previous Payments	Extra	Rate	Additional Fee
Total Claims*	43 -	43	0	\$18.00/ \$9.00	\$ 0
Independent Claims	12 -	12	0	\$84.00/ \$42.00	\$ 0
Multiple Dependent Claims	(If claims added by amendment include Multiple Dependent Claim(s) and there was no Multiple Dependent Claim(s) in application before amendment add \$280.00 to additional fee (\$140.00 for small entity).				\$ 0
TOTAL					\$ 0

*Includes all independent and single dependent claims and all claims referred to in multiple dependent claims. See 37 C.F.R. §1.75(c).

☒ Small entity status is or has been claimed.
Reduced Fees Under 37 C.F.R. §1.9(f) paid herewith \$

- ☐ Charge fee to Deposit Account No. 13-4500, Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this amendment, including all fees pursuant to 37 CFR §1.17 for its timely consideration, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3606-4000. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☐ _____ Pages Sequence Listing
- ☐ _____ Computer disk(s) containing substitute Sequence Listing
- ☐ Statement under 37 C.F.R. §1.825(b) that the computer and paper copies of the substitute Sequence Listing are the same.
- ☐ A check in the amount of \$_____ to cover the filing fee is attached.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: August 30, 2002

By: _____

Richard Straussman
Registration No. 39,847

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paid
L. Lewis
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AMENDMENT AND RESPONSE

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Office Action dated April 30, 2002, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claims 1- 43 to read as follows:

- SUB C1*
B1
1. A method performed by a transfer instrument issuer comprising:
acquiring a plurality of charge accounts, from an account issuer, of a type normally issued with an associated physically producible card which may be presented as evidence of an existing charge account, the physically producible cards bearing human readable account numbers and expiration dates, the plurality of charge accounts being capable of being gifted to a party, after acquisition;
receiving a request from a first party to gift a charge account to a second party having a name, without both the issuance and provision of a physical card for the charge account to the second party, the first party and the second party being different from each other the